



STATE OF ARKANSAS

Department of Human Services
Office of Procurement
700 Main Street,
Little Rock, AR 72201

REQUEST FOR PROPOSAL BID SOLICITATION DOCUMENT

SOLICITATION INFORMATION			
Bid Number:	710-20-0006	Solicitation Issued:	01/10/2020
Description:	Juvenile Justice Information System		
Agency:	Department of Human Services, Division of Youth Services		
SUBMISSION DEADLINE FOR RESPONSE			
Bid Submission:	February 28, 2020	Bid Opening:	February 28, 2020 2:00pm Central Time
<p>Proposals shall not be accepted after the designated bid opening date and time. In accordance with Arkansas Procurement Law and Rules, it is the responsibility of Contractors to submit proposals at the designated location on or before the bid opening date and time. Proposals received after the designated bid opening date and time shall be considered late and shall be returned to the Contractor without further review. It is not necessary to return "no bids" to the Office of Procurement (OP).</p>			
DELIVERY OF RESPONSE DOCUMENTS			
Drop off Address:	Arkansas Department of Human Services Attn: Office of Procurement 700 Main Street Slot W345 Little Rock, AR 72201		
United States mail (USPS):	Arkansas Department of Human Services Attn: Office of Procurement P.O. Box 1437 Slot W345 Little Rock, AR 72203-1437		
Commercial Carrier (UPS, FedEx or USPS Exp):	Arkansas Department of Human Services Attn: Office of Procurement 112 West 8 th Street, Slot W345 Little Rock, AR 72201		
	Delivery providers, USPS, UPS, and FedEx deliver mail to OP's street address on a schedule determined by each individual provider. These providers will deliver to OP based solely on the street address. Contractors assume all risk for timely, properly submitted deliveries.		
Proposal's Outer Packaging:	<p>Outer packaging must be sealed and should be properly marked with the following information. If outer packaging of proposal submission is not properly marked, the package may be opened for bid identification purpose</p> <ul style="list-style-type: none">• Bid Number• Contractor's name and return address• Date and time of bid opening		
OFFICE OF STATE PROCUREMENT CONTACT INFORMATION			
OP Buyer:	Chorsie Burns Issuing Officer	Phone Number:	501-682-6327
Email Address:	chorsie.burns@dhs.arkansas.gov	OP's Main Number:	501-682-1001
DHS Website:	https://humanservices.arkansas.gov/about-dhs/op/procurement-announcements		
OPS Website:	http://www.arkansas.gov/dfa/procurement/bids/index.php		

SECTION 1 - GENERAL INSTRUCTIONS AND INFORMATION

Do not provide responses to items in this section unless specifically and expressly required.

1.1 PURPOSE

The Arkansas Department of Human Services (DHS), issues this Request for Proposal (RFP) on behalf of the Division of Youth Services (DYS) for a Juvenile Justice Information System (JJIS) software solution to address the needs of juvenile treatment centers and correctional facilities. The selected Proposer will be responsible for delivery of a JJIS solution inclusive of all software licensing, implementation, integration, configuration, data conversion, training, maintenance, and support services.

1.2 INTERGOVERNMENTAL/COOPERATIVE USE OF PROPOSAL AND CONTRACT

In accordance with Arkansas Code §19-11-249, this proposal and resulting contract is available to any State Agency or Institution of Higher Education that wishes to utilize the services of the selected proposer, and if the proposer agrees, they may enter into an agreement as provided in this IFB.

1.3 TYPE OF CONTRACT

- A. A Term contract will be awarded to a single Contractor. The term of this contract **shall** be for one (1) year. The anticipated start date for the contract is July 1, 2020. Upon mutual agreement by the vendor and agency, the contract may be renewed by OP on a year-to-year basis, for up to six (6) additional one-year terms or a portion thereof.
- B. The total contract term shall not exceed more than seven (7) years.
- C. Any resultant contract(s) of this *Bid Solicitation* **shall** be subject to State approval processes which may include Legislative review.

1.4 ISSUING AGENCY

The Office of Procurement (OP), as the issuing office, is the sole point of contact throughout this solicitation process. Vendor questions regarding this Bid Solicitation should be made through the Issuing Officer as shown on page 1 (one) of this document.

1.5 BID OPENING LOCATION

Proposals received by the opening time and date **shall** be opened at the following location:

Department of Human Services
Office of Procurement
700 Main Street
Little Rock, AR 72201

Vendors wishing to attend the bid opening must report to the main entrance of the Arkansas Department of Human Services, Donaghey Plaza South, 700 Main Street, Little Rock, Arkansas 72201, and check in with the receptionist. All attendees are required to obtain security clearance upon entrance to the building by submitting a current, valid photo ID, preferably a driver's license, to the Security Officer at the reception area. The Security Officer will issue a visitor's badge which must be worn at all times. Before leaving the bid opening, visitors are required to return the visitor's badge to the Security Officer and retrieve their ID.

The receptionist is to contact the buyer for more detailed directions to the bid opening location.

1.6 ACCEPTANCE OF REQUIREMENTS

- A. The words "**must**" and "**shall**" signify a Requirement of this solicitation and that the Contractor's agreement to and compliance with that item is mandatory.
- B. A Contractor's proposal will be disqualified if a Contractor takes exceptions to any Requirements named in this RFP.

- C. Contractor may request exceptions to NON-mandatory items. Any such request **must** be declared on, or as an attachment to, the appropriate section's Agreement and Compliance Page. Contractor **must** clearly explain the requested exception and should reference the specific solicitation item number to which the exception applies. (See *Agreement and Compliance Page*.)

1.7 **INTERPRETIVE CONVENTION**

Any statement in this document that contains the word "must" or "shall" means that compliance with the intent of the statement is mandatory, and failure by the Vendor(s) to satisfy that intent may cause the Proposal to be rejected. Unless specifically disallowed on any specification herein, the Vendor may provide clarification to any point within Sections 2.6.1, 2.6.2, and 2.6.3 of the main RFP document and Table 1: JJIS Requirements and Table 2: DHS Information Technology Requirements, including a specification denoted as mandatory, as long as the following are true:

- 1) The specification is not a matter of State law;
- 2) The Proposal still meets the intent of the RFP. DHS shall have sole discretion as to whether the proposal meets the intent of the RFP;
- 3) A Proposal Clarifications Summary Form is included with Vendor's Proposal; and
- 4) The clarification is clearly explained, along with any alternative or substitution the Vendor proposes to address the intent of the specification' on the Proposal Clarifications Summary Form.

Clarifications shall not be allowed for any other section or template corresponding to this RFP. Whenever the terms "can," "may," or "should" are used in this RFP in conjunction with a specification or performance requirement, the specification or performance requirement is a desirable, but not mandatory, requirement. Accordingly, a Vendor's failure to address or provide any items so referred to will not be the cause for rejection of the Proposal but will likely result in a less favorable evaluation.

1.8 **DEFINITION OF TERMS**

- A. The State Procurement Official has made every effort to use industry-accepted terminology in this *Bid Solicitation* and will attempt to further clarify any point of an item in question as indicated in *Clarification of Bid Solicitation*.
- B. Unless otherwise defined herein, all terms defined in Arkansas Procurement Law and used herein have the same definitions herein as specified therein.
- C. "Contractor" means a person who sells or contracts to sell commodities and/or services. Contractor, Prospective Contractor, and Vendor are used synonymously in this document.
- D. The terms "Request for Proposal", "RFP", and "Bid Solicitation" are used synonymously in this document.
- E. "State" means the State of Arkansas. When the term "State" is used herein to reference any obligation of the State under a contract that results from this solicitation, that obligation is limited to the State agency using such a contract.
- F. The terms "Buyer" and "Issuing Officer" are used synonymously in this document.

1.9 **RESPONSE DOCUMENTS**

- A. Original Technical Proposal Packet
The following items are Proposal Submission Requirements and **must** be submitted in the original Technical Proposal Response Packet:
 1. A hard copy of the original *Technical Proposal Packet* **must** be received on or before the bid submittal date and time.
 2. The Proposal Packet should be clearly marked "Original" and **must** include the following:

- a. Original signed *Proposal Signature Page*. (See *Proposal Signature Page*.)
- b. Original signed *Agreement and Compliance Pages*. (See *Agreement and Compliance Pages*).
- c. Original signed Proposed Subcontractors Form. (See Subcontractors.)
- d. EO 98-04 Disclosure Form, Attachment A. (See *Standard Terms and Conditions*, #27. *Disclosure*.)
- e. *Technical Proposal* response to the *Information for Evaluation* section included in the *Technical Proposal Packet*.
- f. Table 1: JJIS Requirements
- g. Table 2: DHS Information Technology Requirements
- h. Other documents and/or information as may be expressly required in this *Bid Solicitation*.
3. The following items should be submitted in the original *Technical Proposal Packet*.
 - a. Copy of Contractor's *Equal Opportunity Policy*. (See *Equal Opportunity Policy*.)
 - b. *Voluntary Product Accessibility Template* (VPAT), if applicable. (See *Technology Access*.)
 - c. Signed Addenda, if applicable.
4. **DO NOT** include any other documents or ancillary information, such as a cover letter or promotional/marketing information.

B. Official Bid Price Sheet. (See *Pricing*.)

1. Contractor's original *Official Bid Price Sheet* **must** be submitted in hard copy format.
2. Contractor should also submit one (1) electronic copy of the *Official Bid Price Sheet*, preferably on a flash drive. A CD will also be acceptable.
3. The *Official Bid Price Sheet*, including the hard copy and electronic copy, **must** be separately sealed from the *Technical Proposal Packet* and should be clearly marked as "Pricing". Contractor **must not** include any pricing in the hard copies or electronic copies of their *Technical Proposal Packet*.

C. Additional Copies and Redacted Copy of the Technical Proposal Packet

In addition to the original *Technical Proposal Packet* and the *Official Bid Price Sheet*, the following items should be submitted:

1. Additional Copies of the *Technical Proposal Packet*
 - a. Five (5) complete hard copies (marked "COPY") of the *Technical Proposal Packet*.
 - b. One (1) electronic copy of the *Technical Proposal Packet*, preferably on flash drives. CDs will also be acceptable. Do not send electronic copy via email or fax.
 - c. All additional hard copies and electronic copies **must** be identical to the original hard copy. In case of a discrepancy, the original hard copy **shall** govern.
 - d. If OP requests additional copies of the proposal, the copies **must** be delivered within twenty-four (24) hours of request.
2. One (1) redacted copy (marked "REDACTED") of the original *Technical Proposal Packet* (if applicable), preferably on a flash drive and in PDF format. A CD will also be acceptable. Do not send electronic copy via email or fax. (See *Proprietary Information*.)

1.10 ORGANIZATION OF RESPONSE DOCUMENTS

- A. It is strongly recommended that Contractors adhere to the following format and suggestions when preparing their Technical Proposal response.
- B. The original *Technical Proposal Packet* and all copies should be arranged in the following order:
 - *Proposal Signature Page*
 - Table 1: JJIS Requirements
 - Table 2: DHS Information Technology Requirements
 - *All Agreement and Compliance Pages*
 - *Proposed Subcontractors Form*
 - Signed Addenda, if applicable
 - E.O. 98-04 – *Contract Grant and Disclosure Form*
 - *Equal Opportunity Policy*
 - Other documents and/or information as may be expressly required in this *Bid Solicitation*
 - Technical Proposal response to the *Information for Evaluation* section of the *Technical Proposal Packet*

1.11 CLARIFICATION OF BID SOLICITATION

- A. Contractor may submit written questions, requesting clarification of information contained in this *Bid Solicitation*. Written questions should be submitted by 4:00 p.m., Central Time on September 6, 2019. Submit written questions by email to the buyer as shown on page one (1) of this *Bid Solicitation*.
- B. The attached response template (*Attachment B*) **must** be used for submission of all written questions. All questions should include the information specified in the response template. Written questions submitted in a different format may not be answered by DHS.
- C. Contractor's written questions will be consolidated and responded to by the State. The State's consolidated written response is anticipated to be posted to the OP website by the close of business on or about September 16, 2019.
- D. Answers to verbal questions may be given as a matter of courtesy and **must** be evaluated at contractor's risk. Any oral statement by OP will not be part of any contract resulting from this solicitation and may not reasonably be relied on by any Vendor as an aid to interpretation unless it is reduced to writing and expressly adopted by OP.

1.12 PROPOSAL SIGNATURE PAGE

- A. An official authorized to bind the Contractor(s) to a resultant contract **must** sign the *Proposal Signature Page* included in the *Technical Proposal Packet*.
- B. Contractor's signature on this page **shall** signify contractor's agreement that either of the following **shall** cause the contractor's proposal to be disqualified:
 1. Additional terms or conditions submitted intentionally or inadvertently.
 2. Any exception that conflicts with a Requirement of this *Bid Solicitation*.

1.13 AGREEMENT AND COMPLIANCE PAGES

- A. Contractor **must** sign all *Agreement and Compliance Pages* relevant to each section of the *Bid Solicitation Document*. The *Agreement and Compliance Pages* are included in the *Technical Proposal Packet*.
- B. Contractor's signature on these pages **shall** signify agreement to and compliance with all Requirements within the designated section.

1.14 SUBCONTRACTORS

- A. Contractor **must** complete, sign and submit the *Proposed Subcontractors Form* included in the *Technical Proposal Packet* to indicate contractor's intent to utilize, or to not utilize, subcontractors.

- B. Additional subcontractor information may be required or requested in following sections of this *Bid Solicitation* or in the *Information for Evaluation* section provided in the *Technical Proposal Packet*. **Do not** attach any additional information to the *Proposed Subcontractors Form*.
- C. The utilization of any proposed subcontractor is subject to approval by the State agency.

1.15 **PRICING**

- A. Contractor(s) **shall** include all pricing on the Official Price Bid Sheet only. Any cost not identified by the successful contractor but subsequently incurred in order to achieve successful operation **shall** be borne by the Contractor. The *Official Bid Price Sheet* is provided as a separate PDF file posted with this *Bid Solicitation*.
- B. To allow time to evaluate proposals, prices **must** be valid for 180 days following the bid opening.
- C. The *Official Bid Price Sheet*, including the hard copy and electronic copy, **must** be separately sealed from the *Technical Proposal Packet* and should be clearly marked as "Pricing". DO NOT submit any ancillary information not related to actual pricing in the sealed pricing package.
- D. Contractor **must not** include any pricing in the hard copies or electronic copies of their *Technical Proposal Packet*. Should hard copies or electronic copies of their *Response Packet* contain any pricing, the response **shall** be disqualified.
- E. Failure to complete and submit the *Official Bid Price Sheet* **shall** result in disqualification.
- F. All proposal pricing **must** be in United States dollars and cents.
- G. The Official Bid Price Sheet may be reproduced as needed.

1.16 **PRIME CONTRACTOR RESPONSIBILITY**

- A. A joint proposal submitted by two or more contractors is acceptable. However, a single Contractor **must** be identified as the prime Contractor.
- B. The prime Contractor **shall** be held responsible for the contract and **shall** be the sole point of contact.

1.17 **INDEPENDENT PRICE DETERMINATION**

- A. By submission of this proposal, the Contractor certifies, and in the case of a joint proposal, each party thereto certifies as to its own organization, that in connection with this proposal:
 - The prices in the proposal have been arrived at independently, without collusion; and
 - No prior information concerning these prices has been received from, or given to, a competitive company.
- B. Evidence of collusion **shall** warrant consideration of this proposal by the Office of the Attorney General. All Contractors **shall** understand that this paragraph may be used as a basis for litigation.

1.18 **PROPRIETARY INFORMATION**

- A. Submission documents pertaining to this *Bid Solicitation* become the property of the State and are subject to the Arkansas Freedom of Information Act (FOIA).
- B. In accordance with FOIA and to promote maximum competition in the State competitive bidding process, the State may maintain the confidentiality of certain types of information described in FOIA. Such information may include trade secrets defined by FOIA and other information exempted from the Public Records Act pursuant to FOIA.
- C. Contractor may designate appropriate portions of its response as confidential, consistent with and to the extent permitted under the Statutes and Rules set forth above, by submitting a redacted copy of the response.
- D. By so redacting any information contained in the response, the Contractor warrants that it has formed a good faith opinion having received such necessary or proper review by counsel and other knowledgeable advisors that the portions redacted meet the requirements of the Rules and Statutes set forth above.

- E. Under no circumstances will pricing information be designated as confidential.
- F. One (1) complete copy of the submission documents from which any proprietary information has been redacted should be submitted on a flash drive in the *Technical Proposal Packet*. A CD is also acceptable. Do not submit documents via e-mail or fax.
- G. Except for the redacted information, the redacted copy **must** be identical to the original hard copy, reflecting the same pagination as the original and showing the space from which information was redacted.
- H. The Contractor is responsible for identifying all proprietary information and for ensuring the electronic copy is protected against restoration of redacted data.
- I. The redacted copy **shall** be open to public inspection under the Freedom of Information Act (FOIA) without further notice to the Contractor.
- J. If a redacted copy of the submission documents is not provided with Contractor's response packet, a copy of the non-redacted documents, with the exception of financial data (other than pricing), will be released in response to any request made under the Arkansas Freedom of Information Act (FOIA).
- K. If the State deems redacted information to be subject to FOIA, the Contractor will be notified of the State's determination prior to release of the documents.
- L. The State has no liability to a Contractor with respect to the disclosure of Contractor's confidential information ordered by a court of competent jurisdiction pursuant to FOIA or other applicable law.

1.19 **CAUTION TO CONTRACTORS**

- A. Prior to any contract award, all communication concerning this *Bid Solicitation* **must** be addressed through OP buyer.
- B. Contractor **must not** alter any language in any solicitation document provided by the State.
- C. Contractor **must not** alter the Official Bid Price Sheet.
- D. All official documents and correspondence related to this solicitation **shall** be included as part of the resultant contract.
- E. Proposals **must** be submitted only the English language.
- F. The State **shall** have the right to award or not award a contract, if it is in the best interest of the State to do so.
- G. Contractor **must** provide clarification of any information in their response documents as requested by OP.
- H. Qualifications and proposed services **must** meet or exceed the required specifications as set forth in this *Bid Solicitation*.
- I. Contractors may submit multiple proposals. Each proposal shall be submitted separately and must include all documents and information required under this RFP.
- J. Only those proposals meeting all requirements **shall** advance forward to the evaluation process.

1.20 **REQUIREMENT OF ADDENDUM**

- A. This *Bid Solicitation* **shall** be modified only by an addendum written and authorized by OP.
- B. Contractors are cautioned to ensure that they have received or obtained, and have responded to, any and all addenda to the Bid Solicitation prior to submission of response.
- C. An addendum posted within three (3) calendar days prior to the bid opening **shall** extend the bid opening and may or may not include changes to the Bid Solicitation.

- D. The contractor **shall** be responsible for checking the websites listed on page 1 (one) of this RFP for any and all addenda up to the bid opening;

1.21 **AWARD PROCESS**

A. Award Determination

The Grand Total Score for each Contractor, which **shall** be a sum of the Technical Score and Cost Score, **shall** be used to determine the ranking of proposals. The State may move forward to negotiations with those responsible Contractors determined, based on the ranking of the proposals, to be reasonably susceptible of being selected for award.

Contractor must indicate in Table 1: JJUS Requirements and Table 2: DHS Information Technology Requirements that Contractor's solution meets all mandatory requirements. Only proposals that meet all mandatory requirements will move forward to proposal evaluation and scoring.

B. Negotiations

1. If the agency so chooses, it **shall** also have the right to enter into discussion with the qualifying vendor(s), to further define contractual details. All negotiations **shall** be conducted at the sole discretion of the State. The State **shall** solely determine the items to be negotiated.
2. If negotiations fail to result in a contract, the negotiation process may be repeated until an anticipated successful vendor(s) has been determined, or until such time the State decides not to move forward with an award.

C. Anticipation to Award

1. Once the anticipated successful Contractor has been determined, the anticipated award will be posted on the DHS and OSP websites listed on page 1(one) of this document.
2. The anticipated award will be posted for a period of fourteen (14) days prior to the issuance of a contract. Contractors and agencies are cautioned that these are preliminary results only, and a contract will not be issued prior to the end of the fourteen (14) day posting period.
3. OP **shall** have the right to waive the fourteen (14) day anticipated award posting period when it is in the best interest of the State.
4. It is the Contractor's responsibility to check the websites for the posting of an anticipated award.

D. Issuance of Contract

1. Any resultant contract of this *Bid Solicitation* **shall** be subject to State approval processes which may include Legislative review.
2. A State Procurement Official will be responsible for the solicitation and award of any resulting contract.

1.22 **MINORITY AND WOMEN-OWNED BUSINESS POLICY**

- A. A minority-owned business is defined by Arkansas Code Annotated § 15-4-303 as a business owned by a lawful permanent resident of this State who is:

- African American
- American Indian
- Asian American
- Hispanic American
- Pacific Islander American
- A Service Disabled Veteran as designated by the United States Department of Veteran Affairs

- B. A women-owned business is defined by Act 1080 of the 91st General Assembly Regular Session 2017 as a business that is at least fifty-one percent (51%) owned by one (1) or more women who are lawful permanent residents of this State.

- C. The Arkansas Economic Development Commission conducts a certification process for minority-owned and women-owned businesses. If certified, the Prospective Contractor's Certification Number should be included on the Proposal Signature Page.

1.23 **EQUAL OPPORTUNITY POLICY**

- A. In compliance with Arkansas Code Annotated § 19-11-104, the State is required to have a copy of the anticipated Contractor's *Equal Opportunity (EO) Policy* prior to issuing a contract award.
- B. *EO Policies* should be included as a hardcopy accompanying the solicitation response.
- C. Contractors are responsible for providing updates or changes to their respective policies, and for supplying *EO Policies* upon request to other State agencies that must also comply with this statute.
- D. Contractors who are not required by law by to have an *EO Policy* **must** submit a written statement to that effect.

1.24 **PROHIBITION OF EMPLOYMENT OF ILLEGAL IMMIGRANTS**

- A. Pursuant to Arkansas Code Annotated § 19-11-105, prior to the award of a contract, selected Contractor(s) **must** have a current certification on file with OSP stating that they do not employ or contract with illegal immigrants. If selected, the Contractor certifies that they will not employ or contract with illegal immigrants during the aggregate term of a contract.
- B. OSP will notify the selected contractor(s) prior to award if their certification has expired or is not on file. Instructions for completing the certification process will be provided to the contractor(s) at that time.

1.25 **RESTRICTION OF BOYCOTT OF ISRAEL**

- A. Pursuant to Arkansas Code Annotated § 25-1-503, a public entity **shall not** enter into a contract with a company unless the contract includes a written certification that the person or company is not currently engaged in and agrees for the duration of the contract not to engage in a boycott of Israel.
- B. This prohibition does not apply to a company which offers to provide the goods or services for at least twenty percent (20%) less than the lowest certifying business.
- C. By checking the designated box on the Proposal Signature Page of the response packet, a Contractor agrees and certifies that they do not, and will not for the duration of the contract, boycott Israel.

1.26 **PAST PERFORMANCE**

In accordance with provisions of State Procurement Law, specifically OSP Rule R5:19-11-230(b)(1), a Contractor's past performance with the State may be used to determine if the Contractor is "responsible." Proposals submitted by Contractors determined to be non-responsible **shall** be disqualified.

1.27 **TECHNOLOGY ACCESS**

- A. When procuring a technology product or when soliciting the development of such a product, the State of Arkansas is required to comply with the provisions of Arkansas Code Annotated § 25-26-201 et seq., as amended by Act 308 of 2013, which expresses the policy of the State to provide individuals who are blind or visually impaired with access to information technology purchased in whole or in part with state funds. The Contractor expressly acknowledges and agrees that state funds may not be expended in connection with the purchase of information technology unless that technology meets the statutory Requirements found in 36 C.F.R. § 1194.21, as it existed on January 1, 2013 (software applications and operating ICSs) and 36 C.F.R. § 1194.22, as it existed on January 1, 2013 (web-based intranet and internet information and applications), in accordance with the State of Arkansas technology policy standards relating to accessibility by persons with visual impairments.
- B. Accordingly, the contractor expressly represents and warrants to the State of Arkansas through the procurement process by submission of a Voluntary Product Accessibility Template (VPAT) for 36 C.F.R. § 1194.21, as it existed on January 1, 2013 (software applications and operating ICSs) and 36 C.F.R. § 1194.22, that the technology provided to the State for purchase is capable, either by virtue of features included within the technology, or because it is readily adaptable by use with other technology, of:

1. Providing, to the extent required by Arkansas Code Annotated § 25-26-201 et seq., as amended by Act 308 of 2013, equivalent access for effective use by both visual and non-visual means;
 2. Presenting information, including prompts used for interactive communications, in formats intended for non-visual use;
 3. After being made accessible, integrating into networks for obtaining, retrieving, and disseminating information used by individuals who are not blind or visually impaired;
 4. Providing effective, interactive control and use of the technology, including without limitation the operating system, software applications, and format of the data presented is readily achievable by nonvisual means;
 5. Being compatible with information technology used by other individuals with whom the blind or visually impaired individuals interact;
 6. Integrating into networks used to share communications among employees, program participants, and the public; and
 7. Providing the capability of equivalent access by nonvisual means to telecommunications or other interconnected network services used by persons who are not blind or visually impaired.
- C. State agencies cannot claim a product as a whole is not reasonably available because no product in the marketplace meets all the standards. Agencies **must** evaluate products to determine which product best meets the standards. If an agency purchases a product that does not best meet the standards, the agency must provide written documentation supporting the selection of a different product, including any required reasonable accommodations.
- D. For purposes of this section, the phrase “equivalent access” means a substantially similar ability to communicate with, or make use of, the technology, either directly, by features incorporated within the technology, or by other reasonable means such as assistive devices or services which would constitute reasonable accommodations under the Americans with Disabilities Act or similar state and federal laws. Examples of methods by which equivalent access may be provided include, but are not limited to, keyboard alternatives to mouse commands or other means of navigating graphical displays, and customizable display appearance. As provided in Arkansas Code Annotated § 25-26-201 et seq., as amended by Act 308 of 2013, if equivalent access is not reasonably available, then individuals who are blind or visually impaired shall be provided a reasonable accommodation as defined in 42 U.S.C. § 12111(9), as it existed on January 1, 2013.
- E. If the information manipulated or presented by the product is inherently visual in nature, so that its meaning cannot be conveyed non-visually, these specifications do not prohibit the purchase or use of an information technology product that does not meet these standards.

1.28 **COMPLIANCE WITH THE STATE SHARED TECHNICAL ARCHITECTURE PROGRAM**

The Contractor's solution **must** comply with the State's shared Technical Architecture Program which is a set of policies and standards that can be viewed at: <https://www.dfa.arkansas.gov/intergovernmental-services/state-technology-cost-analysis/architecture-compliance/>. Only those standards which are fully promulgated or have been approved by the Governor's Office apply to this solution.

1.29 **VISA ACCEPTANCE**

- A. Awarded Contractor should have the capability of accepting the State's authorized VISA Procurement Card (p-card) as a method of payment.
- B. Price changes or additional fee(s) **shall not** be levied against the State when accepting the p-card as a form of payment.
- C. VISA is not the exclusive method of payment.

1.30 **PUBLICITY**

- A. Do not discuss the solicitation nor your proposal response, nor issue statements or comments, nor provide interviews to any public media during the solicitation and award process.

- B. Failure to comply with this Requirement **shall** be cause for a Contractor's proposal to be disqualified or for the contract to be terminated.

1.31 RESERVATION

The State **shall not** pay costs incurred in the preparation of a proposal.

1.32 DATA LOCATION

Contractor **shall** under no circumstances allow Arkansas data to be relocated, transmitted, hosted, or stored outside the continental United States in connection with any services provided under this contract entered into under this RFP, either directly by the Contractor or by its subcontractors.

1.33 SCHEDULE OF EVENTS

Public Notice of RFP	January 10, 2020
Deadline for Receipt of Written Questions	January 24, 2020
Response to Written Questions, On or About	February 11, 2020
Date and time for Bid Submission and Opening	February 28, 2020 at 2:00pm CST
Contract Start, (Subject to State Approval)	July 1, 2020

1.34 STATE HOLIDAYS

Holidays are those days as declared legal state holidays by authority of Act 304 of 2001. Those days are as follows:

New Year's Day	January 1
Dr. Martin Luther King	Third Monday in January
George Washington Birthday	Third Monday in February
Memorial Day	Last Monday in May
Independence Day	July 4
Labor Day	First Monday in September
Veteran's Day	November 11
Thanksgiving Day	Fourth Thursday in November
Christmas Eve	December 24
Christmas Day	December 25

Additional days can be proclaimed as holidays by the Governor through executive proclamation. State offices are normally closed on holidays; however, there are occasions (i.e., during legislative sessions) when it may become necessary to keep state offices open on holidays. The Contractor **shall** maintain adequate staff on such working holidays.

SECTION 2 – MINIMUM REQUIREMENTS

Do not provide responses to items in this section unless expressly required.

2.1 INTRODUCTION

This Request for Proposals (RFP) is issued by the Arkansas Department of Human Services (DHS) on behalf of the Division of Youth Services (DYS) to obtain proposals and a contract for a proven, Juvenile Justice Case Management Information System. The Contractor **shall** provide an integrated module case management system that is a fully integrated to provide case management, reporting, and billing.

As a result of the condensed timeframe for implementation, DHS prefers solutions where the functional requirements are currently available in the proposed solution and require minimum modification and/or contractor development. (See Template Table-1)

2.2 COST MODEL/PRICING

The State is interested in a single, fixed-price enterprise for operation of the proposed system anywhere the Arkansas Division of Youth Services operates.

Cost proposals **must** include all licenses and fixed support/maintenance costs to cover the first year of development and “go live”, plus six (6) additional years of optional renewals. The support/maintenance costs shall begin at the end of the first year following expiration of the warranty period.

Proposer’s proposal submission and associated pricing **shall** include software license(s) to accommodate the estimated number of Users as listed below based on the latest production version. The State prefers not to purchase separate licenses for third-party applications which are embedded into the solution. All licenses that may be required by the solution for third-party software are to be included with the proposed solution and maintained throughout the term of the resultant contract. Licensing **must** include all licenses required to operate and maintain the test, development/staging, and training environments. All costs are to be included within the proposal response.

If the proposed solution shall include third-party software licenses not embedded into the solution in order to meet the technical and functional specifications of this solicitation, the State reserves the right to leverage software license agreements that may be in place between any proposed third-party software copyright holder. This entitles all parties included as “Users” for this solicitation with the advantage of reducing software acquisition or maintenance costs. (“Users” includes other entities in addition to the State.)

The proposed solution is not required to use a “Per User” license model; however, the proposed solution **must** accommodate, at a minimum, the hypothetical number of Users listed below. The State prefers an Enterprise License model that does not vary with user counts.

- Required Arkansas State licenses: Calculate for an estimated four hundred (400) system users.
- Calculate for an estimated one hundred (100) concurrent users, if Proposer’s licensing model is a concurrent user model.
- There **shall** be no cost and no limit to the number of inquiry-only users.
- There **shall** be no cost and no limit to the number of web portal users.

The number of licenses referenced above is hypothetical based on the current environment and subject to change as the proposed solution is deployed. The selected Proposer **must** agree to adjust the final license price according to the actual number of users deployed prior to final acceptance by the State.

2.3 ARKANSAS DIVISION OF YOUTH SERVICES OVERVIEW

DYS, which is a division of the Arkansas Department of Human Services (DHS), provides effective prevention, intervention, and treatment programs to give opportunities for success to families and children in Arkansas and to ensure public safety.

The DHS mission is to provide effective community-based prevention, diversion, appropriate correction and rehabilitation, improved education, and thorough aftercare in order to enhance the comprehensive well-being of the youth of Arkansas involved in the juvenile justice system.

Arkansas’s juvenile justice system is a leader in reform and collaboration across the state, working to prevent most youth from ever needing legal intervention and providing those that do with effective rehabilitation and reintegration;

youth that become involved with the juvenile justice system are treated, educated, and equipped with the tools they need to find success as productive and responsible members of a collective society.

DYS provides a variety of oversight, grants, programs, and services, including the following:

- A. Oversight
 - 1. Juvenile Correctional Facilities & Treatment Centers
 - 2. State Institutional System Board
- B. Grants
 - 1. Formula Grants Program
- C. Programs
 - 1. Education System
- D. Services
 - 1. Community-Based Programs
 - 2. Provider and Facility Directory

2.4 LEGACY SYSTEM

DYS has determined that the current juvenile justice system, which was implemented on October 1, 2004, has been heavily modified, is cumbersome to use, and does not support DYS operational requirements. This project has been initiated as part of a larger reorganization effort to replace their legacy system with a new system that more effectively supports their operational needs.

The legacy system is the RiteTrack application version 4.2 from Handel Information Technologies, a juvenile justice case-management solution. This version utilizes Microsoft technologies, including the plug-in Silverlight. The DYS installation of RiteTrack is hosted in the DHS on-site data center. The application is available to both internal and external users via a web-based user interface.

Additional information regarding interfaces that are in-scope is provided in Section 2.6.2 (E) of this RFP.

2.5 MINIMUM QUALIFICATIONS

Vendor **must** meet the following requirements:

- A. The Vendor **must** have experience with three (3) engagements similar in size, complexity, and scope to this procurement within the last five (5) years. Implementation and maintenance of juvenile justice systems **must** be the primary focus of each engagement used to meet this requirement. For each referenced project, the Vendor **shall** provide:
 - A description of the work performed;
 - The time period of the project or contract;
 - The staff months required;
 - Project amount; and
 - Current contact information for contract managers who can verify experience, including without limitation:
 - i. Current phone number;
 - ii. Mailing address;
 - iii. Email address;
 - iv. Title and organization; and
 - v. Printed name.
- B. The Vendor **must** have annual revenue of at least three million dollars (\$3,000,000). For verification purposes, Vendor **must** submit a financial audit completed within the last year.
- C. Vendor **must** submit a Letter of Bondability from an admitted Surety Insurer with its bid submission. The letter should unconditionally offer to guarantee to the extent of at least \$500,000 the bidder's performance in all respects of the terms and conditions of the RFP and the resultant contract.

2.6 SCOPE OF WORK

The following section, in addition to the attached Table 1: JJIS Requirements and Table 2: DHS Information Technology Requirements, **shall** be considered the complete scope of work for this RFP. The scope of work includes, without limitation, the functional requirements and non-functional requirements (see Table 1), DHS Information Technology Requirements (See Table 2), and implementation services to be addressed in the Vendor's proposal.

2.6.1 **FUNCTIONAL REQUIREMENTS**

Contractor's proposal should include detailed description of how Contractor proposes to meet, not meet or provide alternative functionality to satisfy **ALL** of the functional requirements listed in **Table 1: JJIS Requirements** for the following:

- A. Admissions
- B. Placement
- C. Records Management
- D. Assessments
- E. Coordination of Treatment Team & Treatment Plan Development
- F. Incident Management
- G. Case Management
- H. Discharge/Release to Community
- I. Federal Program Management
- J. Legal and Compliance
- K. Billing
- L. Contract Monitoring & Quality Assurance
- M. Nutrition
- N. Reporting
- O. Non-Custody Cases (NTC)
- P. After-Care
- Q. Schedule Management

Proposals that fail to meet all requirements marked with an "x" as mandatory will be disqualified without further review.

2.6.2 **NON-FUNCTIONAL REQUIREMENTS**

Contractor's proposal should include detailed description of how Contractor proposes to meet, not meet or provide alternative functionality to satisfy **ALL** of the non-functional requirements listed in **Table 1: JJIS Requirements** for the following:

- A. General
- B. Legal & Compliance
- C. Security
- D. Data Integrity
- E. Data Interfaces & Exchange
- F. Data Conversion
- G. Portal
- H. Operations & Maintenance

Proposals that fail to meet all requirements marked with an "x" as mandatory will be disqualified without further review.

2.6.3 **ADDITIONAL MANDATORY DHS INFORMATION TECHNOLOGY REQUIREMENTS**

Contractor's solution **must** meet **ALL** of the requirements listed in Table 2: DHS Information Technology Requirements. Contractor **must** indicate in Table 2: DHS Information Technology that Contractor's solution meets all specified requirements in order for Contractor's proposal to move forward in the evaluation and scoring process.

2.6.4 **IMPLEMENTATION SERVICES**

The Vendor **must** address in their proposal the following implementation services:

- A. Minimum Maintenance Support Services Requirements
 - 1. The Maintenance Support Agreement **shall** take effect after the one-year Warranty period, commencing on the 1st day of the 13th month after the date of the Certificate of Acceptance or "Go Live".

2. During the term(s) of the Maintenance Agreement, Licensor agrees to provide the State with software maintenance services and technical support covering, at a minimum, the requirements set forth below:
 - a. All software **must** be of the most recent release and all software upgrades issued by the Licensor **must** be available to the State at no additional charge. The software maintenance plan **shall** include the option of installation of new releases by the selected Proposer.
 - b. Corrections of substantial defects in the Software ("Solution") so that the solution will operate according to specifications to be resolved as Severity Level 1.
 - c. Periodic updates of the solution may incorporate:
 - i. Corrections of any substantial defects;
 - ii. Fixes of any minor bugs; and
 - iii. Fixes due to any conflicts with mandatory operating solution security patches, to be resolved as Severity Level 1.
 - d. Licensor enhancements made to any solution components that DYS has licensed. Updates to the solution **must** be provided as determined by legally-mandated requests, such as amendments to State or local laws.
 - e. Maintenance of other non-production State environments, such as test, development, and staging, **shall** be included as part of the support provided.
 - f. Remote Server Access to any State server providing the application services will require prior approval from the DHS Information Technology Office.

B. Solution Implementation Plan and Testing

1. Prospective Contractors **must** submit a plan for the design, configuration, testing, and delivery of the solution (the "Implementation Plan"). The Implementation Plan **must** follow the Project Management Institute (PMI) methodology. DYS and the Contractor **shall** agree on a final Implementation Plan no later than thirty (30) calendar days after Contract Award. Prospective Contractors **shall** include implementation costs for the case management system in the Official Bid Price Sheet.
2. Implementation costs **shall** be all-inclusive and include all activities necessary to fully implement the system, which includes "Go Live."
3. The Implementation Plan **shall** adhere to the following requirements:
 - a. The Implementation Plan **shall** include initial system configuration and creation of maintenance files required to support the System.
 - b. The Implementation Plan **shall** include any requirements gathering or workflow mapping.
 - c. The Implementation Plan **shall** include proposed staffing to provide adequate onsite user support for users during Implementation and for thirty (30) days after the system (or the last component of the system) goes live.
 - d. The Implementation Plan shall reflect a rapid time to value implementation approach to accommodate a condensed implementation timeframe.
 - e. The Implementation Plan **shall** address all forms of system testing, which **shall** include at a minimum:
 - i. Providing support to State resources for the development of test cases.
 - ii. The process by which the Contractor obtains State sign-off for each module or component of the solution upon the successful completion of each test.
 - iii. A plan to address and fix all severity level 1 and 2 deficiencies identified through testing **must** be submitted within fifteen (15) business days of its identification. This plan includes but is not limited to the development of a Plan of Correction (POC) for each defect or deficiency. The POC **shall** identify in detail the remedial action to be taken by the Contractor, along with the date(s) when each remedial action is to be implemented. Each POC **shall** be subject to the review and approval by the Contract Monitor.
 - iv. All critical defects (Severity Level 1 & 2) **must** be resolved prior to Go Live.

- v. A phase of State User Acceptance Testing (UAT) prior to "Go Live", whereby the State can confirm that the solution adheres to all Contractual requirements. In the event that the solution does not conform with Contract requirements, the State may:
 - a) Upon mutual agreement, perform additional user acceptance tests until the system(s) have operated within the specifications as stated in the Contract for thirty (30) consecutive days;
 - b) Accept minor deviations from the specifications; or
 - c) Terminate the Contract in its entirety
- f. During the implementation and testing phases, the Contractor **shall** provide written status reports at the end of each work week to the Contract Monitor in a format approved by DHS. The status reports **shall** include:
 - i. An executive summary of work performed during the past week;
 - ii. Work planned for the upcoming week;
 - iii. Issues that require management attention;
 - iv. Deliverable status;
 - v. Defect matrices; and
 - vi. Risks.

C. Transition Planning

1. Ninety (90) days prior to the contract end date, the Contractor **shall** submit to DHS a detailed plan for transitioning all contracted services to DHS or to another contractor selected by DHS to provide the contracted services.
2. The transition plan **shall** include provisions for the delivery of all proprietary data collected and/or created during the life of the contract to DHS thirty (30) days prior to the contract end date. All proprietary data collected and/or created during the final thirty (30) days of the contract, or any proprietary data not captured in the initial delivery, **shall** be delivered to DHS no more than fifteen (15) days following the contract end date.

D. Ongoing User Support

Prospective Contractors **shall** submit a plan for the User Support Plan. All ongoing user support costs **shall** be included in licensing fees included in the Official Bid Price Sheet.

1. The Contractor **shall** provide ongoing user support via telephone and email beginning at the time of Go Live and continuing for the life of the contract.
2. The Contractor **shall**:
 - a. Provide Emergency Support to designated State personnel via telephone and email twenty-four (24) hours a day, seven (7) days a week. Emergency Support **shall** include, but not be limited to, an eventsuch as Complete System Failure.
 - b. Provide Standard Support to designated State personnel via telephone and email, at minimum, Monday-Friday, 8am-5pm CST, excluding State Holidays.
 - c. Provide English-speaking Telephone Support Staff.
 - d. Provide resolution of High Priority Issues within four (4) hours.
 - e. Provide resolution of Medium Priority Issues within twenty-four (24) hours.
 - f. Provide resolution of Low Priority Issues within three (3) calendar days.
3. The Contractor and DHS shall mutually agree to the parameters for Emergency, High, Medium, and Low Priority Issues within thirty (30) days of Contract Award.

E. Communication and Issue Resolution Plan

1. Contractor's proposal **must** include a proposed Communication and Issue Resolution Plan. The State and Contractor **shall** mutually agree to the final plan within thirty (30) days of Contract Award.

2. The Contractor **shall** provide a Communication and Issue Resolution Plan that describes how the Contractor will work with DYS and other stakeholders to facilitate communication and coordination throughout the life of the contract. At a minimum, the Contractor **shall** include:
 - a. Acknowledgement that the Contract Monitor **shall** maintain the right to review and approve any written communications or notice to DYS employees and/or users of the system.
 - b. Proposal for how the Contractor will notify the Contract Monitor and designated DHS representatives of all planned or scheduled written communications or notices to DYS employees and/or users of the system before such communications are issued.
 - c. Proposal to initiate a recurring update meeting, including recommended attendees and the frequency of which these update meetings will take place. Meetings may be held on site, via conference call, or via the web.
 - d. Proposal for periodic written updates that will serve to supplement the update meetings.
 - e. Proposal for which Contractor team members will serve as key points of contact for, at a minimum, the following functions:
 - i. JJIS Technical Issues
 - ii. Contract Updates / Changes
3. The above-referenced key points of contact are individuals whom the Contract Monitor **shall** contact when an issue arises; they will not serve as substitutes for the daily, real-time technical support referenced in section 2.6.3(A)2.
4. The Contractor **shall** explain in the Communication and Issue Resolution Plan how the Contractor will identify, track, and resolve issues which may arise between the Contractor and DYS in the performance of duties under the Contract. DYS **shall** have the right to approve the Communication and Issue Resolution Plan prior to implementation. The Contractor **shall** include, at minimum:
 - a. Any trouble-shooting tools and techniques that will diagnose issues with networks, services, equipment, software, and data.
 - b. The approach and metrics used to measure the success of its issue resolution.

F. Training and Organizational Change Management

1. The Contractor **shall** train DYS staff in accordance with the direction in this section. Prospective Contractors should submit a Training Plan and provide the requested narrative descriptions of their training approach. The Contractor and DYS **shall** mutually agree to the Training Plan within thirty (30) days of Contract Award. Prospective Contractors **shall** include costs for training activities as required in the RFP in the Official Bid Price Sheet.
 - a. Contractor **shall** develop curriculum and take-away materials for each user type. The Contractor **shall** include printed and electronic reference materials which the State resources **shall** be able to continue to access after training is concluded.
 - b. As part of Bidder's response to this RFP, Bidder **shall** propose a training plan which may include a combination of the following components without limitation.
 - i. In-Person Trainings;
 - ii. On-Site Coaching;
 - iii. Web-Based Training;
 - iv. User Manual;
 - v. Frequently Asked Questions (FAQ) List;
 - vi. Helpline phone numbers and how to submit an electronic help request; and
 - vii. Website URLs or location information for all other online and printed resources.
 - c. In the event that the Contractor makes any material alterations to the solution, the Contractor **shall** be required to update the training materials accordingly and may be required to perform a mutually agreeable amount of classroom training at DYS facilities. The State and the Contractor shall

mutually agree on what constitutes a “material alteration.” If such training requires an additional cost to the State, it **must** be at the “additional training” cost provided in the Official Bid Price Sheet.

2. Organizational Change Management

Transitioning to a new juvenile justice information system will constitute a significant shift for many of the workforce at DYS. Accordingly, there will be a human dimension to implementation to ensure enterprise-wide buy-in. Prospective Contractors should explain how it will support this Organizational Change Management effort and empower DYS to motivate its employees and partners to embrace the solution.

G. Staffing and Key Personnel

1. The Prospective Contractor **must** include an organizational chart showing all proposed staffing to perform the services specified in the scope of work and to meet the following minimum staffing requirements, without limitation. Bidder may propose additional positions and/or education requirements, provided that they meet or exceed the specifications listed below.
2. The Prospective Contractors should also provide sample proposed staffing candidates and their experience. It is preferable that the Prospective Contractors provide the actual Staffing Plan and staffing candidates that would be proposed if Prospective Contractor is selected for Contract Award. Proposed Staffing Plan and Candidates submitted upon Contract Award **must** be substantially similar to the sample plan submitted. Both the Sample and Final Proposed Staffing Plans **must** meet all requirements set forth in this section of the RFP. The staffing plan **shall** be finalized with the mutual agreement of the State within thirty (30) days of Contract Award.
3. The proposed Staffing Plan **must** adhere to the following requirements:
 - a. The Contractor **shall** include, in the Staffing Plan, a primary person (Project Manager or Project Lead) as point of contact for the implementation phase of the solution. This person **shall**:
 - i. Have three (3) years of experience working the development and implementation of a case management system project of similar size and scope to the project described in this RFP and three (3) years of implementation experience in a multi-resource, multi-personnel, and treatment team project that involves software and hardware. These experience requirements may overlap.
 - ii. Project Manager or Project Lead will be onsite seventy-five percent (75%) of the time throughout the implementation.
 - iii. Serve as the primary point of contact for the Contractor and liaison with DHS Office of Information Technology (OIT) Project Management Office (PMO).
 - iv. Manage and coordinate with DYS-designated implementation staff in accordance with the requirements of this RFP.
 - v. Obtain approval from the Contract Monitor for required deliverables.
 - vi. Oversee all Contractor project activities including project planning, organization, controlling, tracking, reporting, risk identification, risk management, and financial management.
 - vii. Monitor and coordinate all project resources.
 - viii. Attend all status meetings.
 - ix. Collect project information and metrics necessary to provide reports to the Contract Monitor.
 - x. Provide the Contract Monitor with weekly and monthly project status and financial reports.
 - xi. Assist in resolving and communicating questions and issues to the Contract Monitor or their designee.
 - xii. Assist with scheduling, coordinating, and overall communication with internal and external entities.
 - xiii. Coordinate the research of issues and questions related to implementation activities.
 - b. The Contractor **shall** include in the Staffing Plan individuals with sufficient technical expertise and experience to accomplish the Implementation Plan. This technical team **shall**:
 - i. Determine operational feasibility through analysis, problem definition, and evaluating requirements, solution development, and proposed systems;

- ii. Prepare solutions by determining and designing system specifications, standards, and programming; and
 - iii. Implement the proposed system by studying information needs, conferring with users, investigating problem areas, following the software development lifecycle, and studying systems flow, data usage, and work processes.
- c. The Contractor **shall** include in the Staffing Plan an individual who will serve as the primary point of contact between the State and the Contractor after the Implementation Plan is complete and the solution is fully operational.
- d. The State **shall** have the right to approve or reject proposed staff members prior to the finalization of the plan after Contract Award if the proposed candidates do not meet the minimum requirements set forth in the RFP.
- e. The Contractor **shall** identify in the Staffing Plan key personnel, including but not limited to the individual charged with managing the Implementation, a key technical person, and the Project Lead and on-site programmer.
- f. The Contractor **shall** include, in the Staffing Plan, a provision to address the vacancies of the Project Lead or other key personnel. In the event of the vacancy of a key person, the Contractor **shall** provide replacement candidates to the State for the State's review within fifteen (15) days of the Contractor learning of the vacancy. The State **shall** have the right to approve or reject proposed replacements if they do not meet the minimum requirements set forth in the RFP.
- g. The Contractor **shall** train all their staff members (including Subcontractors) performing services under any resultant Contract from this RFP. The training **must** include HIPAA privacy and security standards in accordance with any Federal guidance.

2.7 **PERFORMANCE STANDARDS**

- A. State law requires that all contracts for services include Performance Standards for measuring the overall quality of services provided. *Attachment C: Performance Standards* identifies expected deliverables, performance measures, or outcomes; and defines the acceptable standards the Contractor **must** meet in order to avoid assessment of damages.
- B. The State may be open to negotiations of Performance Standards prior to contract award, prior to the commencement of services, or at times throughout the contract duration.
- C. The State **shall** have the right to modify, add, or delete Performance Standards throughout the term of the contract, should the State determine it is in its best interest to do so. Any changes or additions to performance standards will be made in good faith following acceptable industry standards and may include the input of the Contractor so as to establish standards that are reasonably achievable.
- D. All changes made to the Performance Standards **shall** become an official part of the contract.
- E. Performance Standards **shall** continue throughout the term of the contract.
- F. Failure to meet the minimum Performance Standards as specified may result in the assessment of damages.
- G. In the event a Performance Standard is not met, the Contractor will have the opportunity to defend or respond to the insufficiency. The State may waive damages if it determines there were extenuating factors beyond the control of the Contractor that hindered the performance of services or if it is in the best interest of the State to do so. In these instances, the State **shall** have final determination of the performance acceptability.
- H. Should any compensation be owed to the agency due to the assessment of damages, Contractor **shall** follow the direction of the agency regarding the required compensation process.

SECTION 3 – CRITERIA FOR SELECTION

Do not provide responses to items in this section unless expressly required.

- **Do not provide responses to items in this section.**

3.1 TECHNICAL PROPOSAL SCORE

- A. Contractor **must** indicate in Table 1: JJUS Requirements and Table 2: DHS Information Technology Requirements that Contractor's solution meets all mandatory requirements. Only proposals that meet all mandatory requirements will move forward to proposal evaluation and scoring.
- B. Contractor's Technical Proposal should include all requirements (mandatory and non-mandatory) as indicated in this document and Table 1: JJIS Requirements.
- C. The agency-appointed Evaluation Committee will evaluate and score qualifying Technical Proposals. Evaluation will be based on Prospective Contractor's response to the *Information for Evaluation* section included in the *Technical Proposal Packet*.
 1. Members of the Evaluation Committee will individually review and evaluate proposals and complete an Individual Score Worksheet for each proposal. Individual scoring for each Evaluation Criteria will be based on the following Scoring Description.

Quality Rating	Quality of Response	Description	Confidence in Proposed Approach
5	Excellent	When considered in relation to the RFP evaluation factor, the proposal squarely meets the requirement and exhibits outstanding knowledge, creativity, ability or other exceptional characteristics. Extremely good.	Very High
4	Good	When considered in the relation to the RFP evaluation factor, the proposal squarely meets the requirement and is better than merely acceptable.	High
3	Acceptable	When considered in relation to the RFP evaluation factor, the proposal is of acceptable quality.	Moderate
2	Marginal	When considered in relation to the RFP evaluation factor, the proposal's acceptability is doubtful.	Low
1	Poor	When considered in relation to the RFP evaluation factor, the proposal is inferior.	Very Low
0	Unacceptable	When considered in relation to the RFP evaluation factor, the proposal clearly does not meet the requirement, either because it was left blank or because the proposal is unresponsive.	No Confidence

2. After initial individual evaluations are complete, the Evaluation Committee members will meet to discuss their initial individual scores in a consensus scoring meeting. At this consensus scoring meeting, each evaluator will be afforded an opportunity to discuss his or her individual scores for each evaluation criteria.
3. After committee members have had an opportunity to discuss their initial individual scores on the preliminary Individual Score Worksheet with the group, the individual committee members will be given the opportunity to change their individual scores on the initial Individual Score Worksheet, if they feel that is appropriate.

4. The preliminary final individual scores of the evaluators will be recorded on the Consensus Score Sheets and averaged to determine the group or consensus score for each proposal. Each evaluator shall sign the preliminary Consensus Score Sheet affirming that the score noted is the score intended by the evaluator.
 5. Other agencies, consultants, and experts may also examine documents at the discretion of the Agency.
- D. The *Information for Evaluation* section has been divided into sub-sections.
1. In each sub-section, items/questions have each been assigned a maximum point value of five (5) points. The total point value for each sub-section is reflected in the table below as the Maximum Raw Score Possible.
 2. The agency has assigned Weighted Percentages to each sub-section according to its significance.

Information for Evaluation Sub-Sections	Maximum Raw Points Possible	Sub-Section's Weighted Percentage	* Maximum Weighted Score Possible
E.1 Minimum Qualifications	15	5%	35
E.2 Functional Requirements	90	40%	280
E.3 Non-Functional Requirements	45	40%	280
E.4 Implementation Services	40	15%	105
Technical Score Total	190	100%	700

*Sub-Section's Percentage Weight x Total Weighted Score = Maximum Weighted Score Possible for the sub-section.

- D. The Contractor's weighted score for each sub-section will be determined using the following formula:

$$(A/B) * C = D$$

A = Actual Raw Points received for sub-section in evaluation
 B = Maximum Raw Points possible for sub-section
 C = Maximum Weighted Score possible for sub-section
 D = Weighted Score received for sub-section

- E. Contractor's weighted scores for sub-sections will be added to determine the Total Preliminary Technical Score for the Proposal.
- F. Technical Proposals that receive the top three (3) highest scores shall move forward in the solicitation process. The pricing for proposals which do not move forward **shall not** be opened or scored.

3.2 **DEMONSTRATION SCORE**

- A. The Contractors with the three (3) highest scores from the preliminary Consensus Score Sheet will be contacted to schedule a demonstration/oral presentation to the Evaluation Committee. The schedule, subject and duration of these demonstration/oral presentation shall be determined by the state.
- B. The buyer will create a second set of consensus score sheets by copying the Excel workbook (including the scores entered) and titling each of the score sheets in that workbook as the "Post-Demonstration" score sheets.
- C. After each demonstration is complete, the Evaluation Committee members will have the opportunity to discuss the demonstration and revise their individual scores on the Post-Demonstration Consensus Score Sheet based

on the information in the demonstration. Each Evaluation Team Member may adjust any of his or her scores and discuss the basis of the adjustment, if they feel it appropriate.

- D. The final individual scores will be recalculated and recorded on the Post-Demonstration Consensus Score Sheet. These scores will determine the final Technical score for each proposal.

3.3 **COST SCORE**

- A. When pricing is opened for scoring, the maximum amount of cost points will be given to the Contractor with the lowest grand total as shown on the Official Bid Price Sheet. (See *Grand Total Score* for maximum points possible for cost score.)
- B. The amount of cost points given to the remaining contractors will be allocated by using the following formula:

$$(A/B)*(C) = D$$

A = Lowest Total Cost
 B = Second (third, fourth, etc.) Lowest Total Cost
 C = Maximum Points for Lowest Total Cost
 D = Total Cost Points Received

3.4 **GRAND TOTAL SCORE**

The final Post-Demonstration Technical Score and Cost Score will be added together to determine the Grand Total Score for the proposal. The Contractor's proposal with the highest Grand Total Score will be selected as the apparent successful contractor. (See *Award Process*.)

	Maximum Points Possible
Technical Proposal	700
Cost	300
Maximum Possible Grand Total Score	1,000

3.5 **CONTRACTOR ACCEPTANCE OF EVALUATION TECHNIQUE**

- A. Contractor **must** agree to all evaluation processes and procedures as defined in this solicitation.
- B. The submission of a *Technical Proposal Packet* **shall** signify the Contractor's understanding and agreement that subjective judgments **shall** be made during the evaluation and scoring of the Technical Proposals.

SECTION 4 – GENERAL CONTRACTUAL REQUIREMENTS

Do not provide responses to items in this section unless expressly required.

4.1 PAYMENT AND INVOICE PROVISIONS

- A. Payment will be made in accordance with applicable State of Arkansas accounting procedures upon acceptance goods and services by the agency.
- B. The State **shall not** be invoiced in advance of delivery and acceptance of any goods or services.
- C. Payment will be made only after the Contractor has successfully satisfied the agency as to the reliability and effectiveness of the goods or services purchased as a whole.
- D. The Contractor should invoice the agency by an itemized list of charges. The agency's Purchase Order Number and/or the Contract Number should be referenced on each invoice.
- E. Other sections of this *Bid Solicitation* may contain additional Requirements for invoicing.
- F. Selected Contractor **must** be registered to receive payment and future *Bid Solicitation* notifications. Contractors may register on-line at <https://www.ark.org/contractor/index.html>.

4.2 GENERAL INFORMATION

- A. The State **shall not** lease any equipment or software for a period of time which continues past the end of a fiscal year unless the contract allows for cancellation by the State Procurement Official upon a thirty (30) day written notice to the Contractor/lessor in the event funds are not appropriated.
- B. The State **shall not** pay damages, legal expenses or other costs and expenses of any other party.
- C. The State **shall not** continue a contract once any equipment has been repossessed.
- D. Any litigation involving the State **must** take place in Pulaski County, Arkansas.
- E. The State **shall not** agree to any provision of a contract which violates the laws or constitution of the State of Arkansas.
- F. The State **shall not** enter a contract which grants to another party any remedies other than the following:
 - 1. The right to possession.
 - 2. The right to accrued payments.
 - 3. The right to expenses of de-installation.
 - 4. The right to expenses of repair to return the equipment to normal working order, normal wear and tear excluded.
 - 5. The right to recover only amounts due at the time of repossession and any unamortized nonrecurring cost as allowed by Arkansas Law.
- G. The laws of the State of Arkansas **shall** govern this contract.
- H. A contract **shall not** be effective prior to award being made by a State Procurement Official.
- I. In a contract with another party, the State will accept the risk of loss of the equipment or software and pay for any destruction, loss or damage of the equipment or software while the State has such risk, when:
 - 1. The extent of liability for such risk is based upon the purchase price of the equipment or software at the time of any loss, and

2. The contract has required the State to carry insurance for such risk.

4.3 **CONDITIONS OF CONTRACT**

- A. The Contractor **shall** at all times observe and comply with federal and State of Arkansas laws, local laws, ordinances, orders, and regulations existing at the time of, or enacted subsequent to the execution of a resulting contract which in any manner affect the completion of the work.
- B. The Contractor **shall** indemnify and save harmless the agency and all its officers, representatives, agents, and employees against any claim or liability arising from or based upon the violation of any such law, ordinance, regulation, order or decree by an employee, representative, or subcontractor of the Contractor.
- C. The Contractor agrees to the Performance Based Contracting standards as presented in Attachment C, DHS Standard Terms and Conditions as presented in Attachment D, a pro forma contract as presented in Attachment E, the Business Associate Agreement as presented in Attachment F, and the Organizational or Personal Conflict of Interest policy as presented in Attachment G. The above named attachments are for your informational, only. They are not to be included in the Technical Response Packet.

4.4 **STATEMENT OF LIABILITY**

- A. The State will demonstrate reasonable care but will not be liable in the event of loss, destruction or theft of Contractor-owned equipment or software and technical and business or operations literature to be delivered or to be used in the installation of deliverables and services. The Contractor will retain total liability for equipment, software and technical and business or operations literature. The State **shall not** at any time be responsible for or accept liability for any Contractor-owned items.
- B. The Contractor's liability for damages to the State **shall** be limited to the value of the Contract or \$5,000,000, whichever is higher. The foregoing limitation of liability **shall not** apply to claims for infringement of United States patent, copyright, trademarks or trade secrets; to claims for personal injury or damage to property caused by the gross negligence or willful misconduct of the Contractor; to claims covered by other specific provisions of the Contract calling for damages; or to court costs or attorney's fees awarded by a court in addition to damages after litigation based on the Contract. The Contractor and the State **shall not** be liable to each other, regardless of the form of action, for consequential, incidental, indirect, or special damages. This limitation of liability **shall not** apply to claims for infringement of United States patent, copyright, trademark or trade secrets; to claims for personal injury or damage to property caused by the gross negligence or willful misconduct of the Contractor; to claims covered by other specific provisions of the Contract calling for damages; or to court costs or attorney's fees awarded by a court in addition to damages after litigation based on the Contract.
- C. Language in these terms and conditions **shall not** be construed or deemed as the State's waiver of its right of sovereign immunity. The Contractor agrees that any claims against the State, whether sounding in tort or in contract, **shall** be brought before the Arkansas Claims Commission as provided by Arkansas law, and **shall** be governed accordingly.

4.5 **PERFORMANCE BONDING**

- A. The Contractor **shall** be required to obtain performance bonds to protect the State's interest as follows:
 1. The amount of the performance bond **shall** be at least \$500,000, unless the State determines that a lesser amount would be adequate for the protection of the State. Such performance bond must be provided to DHS prior to signing the contract.
 2. The State **shall** require additional performance bond protection when a contract price is increased or modified.
 3. The additional performance bond **must** be delivered to the Arkansas Department of Human Services Chief Procurement Officer within fourteen (14) calendar days of request.
 4. The contractor **shall** notify the State of any changes, modification, or renewals for the performance bond during the term of the contract. The performance bond documentation **must** be provided to the State with each required notice.

5. Failure to provide is a breach of contract and may result in immediate contract termination, prohibition against future bidding with the State, the addition of Contractor to the DHS excluded provider list, etc.

4.1 **RECORD RETENTION**

- A. The Contractor **shall** maintain all pertinent financial and accounting records and evidence pertaining to the contract in accordance with generally accepted principles of accounting and as specified by the State of Arkansas Law. Upon request, access **shall** be granted to State or Federal Government entities or any of their duly authorized representatives.
- B. Financial and accounting records **shall** be made available, upon request, to the State of Arkansas's designee(s) at any time during the contract period and any extension thereof, and for five (5) years from expiration date and final payment on the contract or extension thereof.
- C. Other sections of this *Bid Solicitation* may contain additional Requirements regarding record retention.

4.2 **PRICE ESCALATION**

- A. Price increases will be considered at the time of contract renewal.
- B. The Contractor **must** provide to OP a written request for the price increase. The request **must** include supporting documentation demonstrating that the increase in contract price is based on an increase in market price. OP has the right to require additional information pertaining to the requested increase.
- C. Increases will not be considered to increase profit or margins.
- D. OP has the right to approve or deny the request.

4.3 **CONFIDENTIALITY**

- A. The Contractor, Contractor's subsidiaries, and Contractor's employees **shall** be bound to all laws and to all Requirements set forth in this *Bid Solicitation* concerning the confidentiality and secure handling of information of which they may become aware of during the course of providing services under a resulting contract.
- B. Consistent and/or uncorrected breaches of confidentiality may constitute grounds for cancellation of a resulting contract, and the State **shall** have the right to cancel the contract on these grounds.
- C. Previous sections of this *Bid Solicitation* may contain additional confidentiality Requirements.

4.4 **CONTRACT INTERPRETATION**

Should the State and Contractor interpret specifications differently, either party may request clarification. However, if an agreement cannot be reached, the determination of the State **shall** be final and controlling.

4.5 **CANCELLATION**

- A. For Cause. The State may cancel any contract resulting from this solicitation for cause at the discretion of DHS. The State shall give the vendor written notice of cancellation, specifying the terms and the effective date of contract termination.
- B. For Convenience. The State may cancel any contract resulting from the solicitation by giving the Contractor written notice of such cancellation no less than thirty (30) days prior to the date of cancellation.
- C. If upon cancellation the Contractor has provided commodities or services which the State of Arkansas has accepted, and there are no funds legally available to pay for the commodities or services, the Contractor may file a claim with the Arkansas Claims Commission under the laws and regulations governing the filing of such claims.

4.6 **SEVERABILITY**

If any provision of the contract, including items incorporated by reference, is declared or found to be illegal, unenforceable, or void, then both the agency and the Contractor will be relieved of all obligations arising under such provision. If the remainder of the contract is capable of performance, it **shall not** be affected by such declaration or finding and **shall** be fully performed.

SECTION 5 – STANDARD TERMS AND CONDITIONS

Do not provide responses to items in this section.

1. **GENERAL:** Any special terms and conditions included in this solicitation **shall** override these Standard Terms and Conditions. The Standard Terms and Conditions and any special terms and conditions **shall** become part of any contract entered into if any or all parts of the bid are accepted by the State of Arkansas.
2. **ACCEPTANCE AND REJECTION:** The State **shall** have the right to accept or reject all or any part of a bid or any and all bids, to waive minor technicalities, and to award the bid to best serve the interest of the State.
3. **BID SUBMISSION:** Original Proposal Packets **must** be submitted to the Office of State Procurement on or before the date and time specified for bid opening. The Proposal Packet **must** contain all documents, information, and attachments as specifically and expressly required in the *Bid Solicitation*. The bid **must** be typed or printed in ink. The signature **must** be in ink. Unsigned bids **shall** be disqualified. The person signing the bid should show title or authority to bind his firm in a contract. Multiple proposals **must** be placed in separate packages and should be completely and properly identified. Late bids **shall not** be considered under any circumstances.
4. **PRICES:** Bid unit price F.O.B. destination. In case of errors in extension, unit prices **shall** govern. Prices **shall** be firm and **shall not** be subject to escalation unless otherwise specified in the *Bid Solicitation*. Unless otherwise specified, the bid **must** be firm for acceptance for thirty days from the bid opening date. "Discount from list" bids are not acceptable unless requested in the *Bid Solicitation*.
5. **QUANTITIES:** Quantities stated in a *Bid Solicitation* for term contracts are estimates only, and are not guaranteed. Contractor **must** bid unit price on the estimated quantity and unit of measure specified. The State may order more or less than the estimated quantity on term contracts. Quantities stated on firm contracts are actual Requirements of the ordering agency.
6. **BRAND NAME REFERENCES:** Unless otherwise specified in the *Bid Solicitation*, any catalog brand name or manufacturer reference used in the *Bid Solicitation* is descriptive only, not restrictive, and used to indicate the type and quality desired. Bids on brands of like nature and quality will be considered. If bidding on other than referenced specifications, the bid **must** show the manufacturer, brand or trade name, and other descriptions, and should include the manufacturer's illustrations and complete descriptions of the product offered. The State **shall** have the right to determine whether a substitute offered is equivalent to and meets the standards of the item specified, and the State may require the Contractor to supply additional descriptive material. The Contractor **shall** guarantee that the product offered will meet or exceed specifications identified in this *Bid Solicitation*. Contractors not bidding an alternate to the referenced brand name or manufacturer **shall** be required to furnish the product according to brand names, numbers, etc., as specified in the solicitation.
7. **GUARANTY:** All items bid **shall** be newly manufactured, in first-class condition, latest model and design, including, where applicable, containers suitable for shipment and storage, unless otherwise indicated in the *Bid Solicitation*. The Contractor hereby guarantees that everything furnished hereunder **shall** be free from defects in design, workmanship and material, that if sold by drawing, sample or specification, it **shall** conform thereto and **shall** serve the function for which it was furnished. The Contractor **shall** further guarantee that if the items furnished hereunder are to be installed by the Contractor, such items **shall** function properly when installed. The Contractor **shall** guarantee that all applicable laws have been complied with relating to construction, packaging, labeling and registration. The Contractor's obligations under this paragraph **shall** survive for a period of one year from the date of delivery, unless otherwise specified herein.
8. **SAMPLES:** Samples or demonstrators, when requested, **must** be furnished free of expense to the State. Each sample should be marked with the Contractor's name and address, bid or contract number and item number. If requested, samples that are not destroyed during reasonable examination will be returned at Contractor's expense. After reasonable examination, all demonstrators will be returned at Contractor's expense.
9. **TESTING PROCEDURES FOR SPECIFICATIONS COMPLIANCE:** Tests may be performed on samples or demonstrators submitted with the bid or on samples taken from the regular shipment. In the event products tested fail to meet or exceed all conditions and Requirements of the specifications, the cost of the sample used and the reasonable cost of the testing **shall** be borne by the Contractor.
10. **AMENDMENTS:** Contractor's proposals cannot be altered or amended after the bid opening except as permitted by regulation.
11. **TAXES AND TRADE DISCOUNTS:** Do not include State or local sales taxes in the bid price. Trade discounts should be deducted from the unit price and the net price should be shown in the bid.
12. **AWARD:** Term Contract: A contract award will be issued to the successful Contractor. It results in a binding obligation without further action by either party. This award does not authorize shipment. Shipment is authorized by the receipt of a purchase order from the ordering agency. Firm Contract: A written State purchase order authorizing shipment will be furnished to the successful Contractor.
13. **DELIVERY ON FIRM CONTRACTS:** This solicitation shows the number of days to place a commodity in the ordering agency's designated location under normal conditions. If the Contractor cannot meet the stated delivery, alternate delivery schedules may become a factor in an award. The Office of State Procurement **shall** have the right to extend delivery if reasons appear valid. If the date is not acceptable, the agency may buy elsewhere and any additional cost **shall** be borne by the Contractor.

14. **DELIVERY REQUIREMENTS:** No substitutions or cancellations are permitted without written approval of the Office of State Procurement. Delivery **shall** be made during agency work hours only 8:00 a.m. to 4:30 p.m. Central Time, unless prior approval for other delivery has been obtained from the agency. Packing memoranda **shall** be enclosed with each shipment.
15. **STORAGE:** The ordering agency is responsible for storage if the Contractor delivers within the time required and the agency cannot accept delivery.
16. **DEFAULT:** All commodities furnished **shall** be subject to inspection and acceptance of the ordering agency after delivery. Back orders, default in promised delivery, or failure to meet specifications **shall** authorize the Office of State Procurement to cancel this contract or any portion of it and reasonably purchase commodities elsewhere and charge full increase, if any, in cost and handling to the defaulting Contractor. The Contractor **must** give written notice to the Office of State Procurement and ordering agency of the reason and the expected delivery date. Consistent failure to meet delivery without a valid reason may cause removal from the Contractors list or suspension of eligibility for award.
17. **VARIATION IN QUANTITY:** The State assumes no liability for commodities produced, processed or shipped in excess of the amount specified on the agency's purchase order.
18. **INVOICING:** The Contractor **shall** be paid upon the completion of all of the following: (1) submission of an original and the specified number of copies of a properly itemized invoice showing the bid and purchase order numbers, where itemized in the *Bid Solicitation*, (2) delivery and acceptance of the commodities and (3) proper and legal processing of the invoice by all necessary State agencies. Invoices **must** be sent to the "Invoice To" point shown on the purchase order.
19. **STATE PROPERTY:** Any specifications, drawings, technical information, dies, cuts, negatives, positives, data or any other commodity furnished to the Contractor hereunder or in contemplation hereof or developed by the Contractor for use hereunder **shall** remain property of the State, **shall** be kept confidential, **shall** be used only as expressly authorized, and **shall** be returned at the Contractor's expense to the F.O.B. point provided by the agency or by OSP. Contractor **shall** properly identify items being returned.
20. **PATENTS OR COPYRIGHTS:** The Contractor **must** agree to indemnify and hold the State harmless from all claims, damages and costs including attorneys' fees, arising from infringement of patents or copyrights.
21. **ASSIGNMENT:** Any contract entered into pursuant to this solicitation **shall not** be assignable nor the duties thereunder delegable by either party without the written consent of the other party of the contract.
22. **CLAIMS:** Any claims the Contractor may assert under this Agreement shall be brought before the Arkansas State Claims Commission ("Commission"), which shall have exclusive jurisdiction over any and all claims that the Contractor may have arising from or in connection with this Agreement. Unless the Contractor's obligations to perform are terminated by the State, the Contractor shall continue to provide the Services under this Agreement even in the event that the Contractor has a claim pending before the Commission.
23. **CANCELLATION:** In the event, the State no longer needs the commodities or services specified for any reason, (e.g., program changes; changes in laws, rules or regulations; relocation of offices; lack of appropriated funding, etc.), the State **shall** have the right to cancel the contract or purchase order by giving the Contractor written notice of such cancellation thirty (30) days prior to the date of cancellation.

Any delivered but unpaid for goods will be returned in normal condition to the Contractor by the State. If the State is unable to return the commodities in normal condition and there are no funds legally available to pay for the goods, the Contractor may file a claim with the Arkansas Claims Commission under the laws and regulations governing the filing of such claims. If upon cancellation the Contractor has provided services which the State has accepted, the Contractor may file a claim. **NOTHING IN THIS CONTRACT SHALL BE DEEMED A WAIVER OF THE STATE'S RIGHT TO SOVEREIGN IMMUNITY.**
24. **DISCRIMINATION:** In order to comply with the provision of Act 954 of 1977, relating to unfair employment practices, the Contractor agrees that: (a) the Contractor **shall not** discriminate against any employee or applicant for employment because of race, sex, color, age, religion, handicap, or national origin; (b) in all solicitations or advertisements for employees, the Contractor **shall** state that all qualified applicants **shall** receive consideration without regard to race, color, sex, age, religion, handicap, or national origin; (c) the Contractor will furnish such relevant information and reports as requested by the Human Resources Commission for the purpose of determining compliance with the statute; (d) failure of the Contractor to comply with the statute, the rules and regulations promulgated thereunder and this nondiscrimination clause **shall** be deemed a breach of contract and it may be cancelled, terminated or suspended in whole or in part; (e) the Contractor **shall** include the provisions of above items (a) through (d) in every subcontract so that such provisions **shall** be binding upon such subcontractor or Contractor.
25. **CONTINGENT FEE:** The Contractor guarantees that he has not retained a person to solicit or secure this contract upon an agreement or understanding for a commission, percentage, brokerage or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies maintained by the Contractor for the purpose of securing business.
26. **ANTITRUST ASSIGNMENT:** As part of the consideration for entering into any contract pursuant to this solicitation, the Contractor named on the *Proposal Signature Page* for this solicitation, acting herein by the authorized individual or its duly authorized agent, hereby assigns, sells and transfers to the State of Arkansas all rights, title and interest in and to all causes of action it may have under the antitrust laws of the United States or this State for price fixing, which causes of action have accrued prior to the date of this assignment and which relate solely to the particular goods or services purchased or produced by this State pursuant to this contract.

- 27. DISCLOSURE:** Failure to make any disclosure required by Governor's Executive Order 98-04, or any violation of any rule, regulation, or policy adopted pursuant to that order, **shall** be a material breach of the terms of this contract. Any Contractor, whether an individual or entity, who fails to make the required disclosure or who violates any rule, regulation, or policy **shall** be subject to all legal remedies available to the agency.